PATENT COOPERATION TREATY

PCT

(Chapter II of the Patent Cooperation Treaty) 5 IIIN 2015

(PCT Article 36 and Rule 70)

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| Applicant's or agent's file reference 116257 EMT2/sko FOR FURTHE | | CTION | See Form PCT/IPEA/416 | | | |
| International application No. International filing date PCT/NO2004/000116 23.04.2004 | | (day/month/year) | Priority date (day/month/year) 23.04.2003 | | | |
| International Patent Classification (IPC) or national classification and IPC C02F1/48 | | | | | | |
| Applicant EMT RESEARCH ASA | | | | | | |
| This report is the international practice 35 and track | eliminary examination in the specifical section in the specifical sect | eport, established by the according to Article | nis International Preliminary Examining 36. | | | |
| 2. This REPORT consists of a total | | | | | | |
| 3. This report is also accompanied | | | | | | |
| a. 🖾 sent to the applicant and | | | s. as follows: | | | |
| sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). | | | | | | |
| sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. | | | | | | |
| b. (sent to the International I sequence listing and/or ta Box Relating to Sequence | Bureau only) a total of (bles related thereto, in Listing (see Section 8 | indicate type and numb computer readable form D2 of the Administrative | per of electronic carrier(s)) , containing a monly, as indicated in the Supplemental e Instructions). | | | |
| 4. This report contains indications relating to the following items: | | | | | | |
| ☑ Box No. I Basis of the op | inion | | | | | |
| ☐ Box No. II Priority | | | | | | |
| ☐ Box No. III Non-establishn | nent of opinion with rea | ard to novelty inventive | e step and industrial applicability | | | |
| ☐ Box No. IV Lack of unity of | invention | | o otop and industrial applicability | | | |
| | ations and explanations | 2) with regard to novelt s supporting such state | ty, inventive step or industrial ement | | | |
| ☐ Box No. VI Certain docume | | | | | | |
| ☐ Box No. VII Certain defects | in the international app | lication | | | | |
| ☐ Box No. VIII Certain observe | ☐ Box No. VIII Certain observations on the international application | | | | | |
| Date of submission of the demand | | Date of completion of the | his report | | | |
| 22.02.2005 | | 14.06.2005 | | | | |
| Name and mailing address of the international | | Authorized Officer | | | | |
| preliminary examining authority: European Patent Office - P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk - Pays Bas Tel. +31 70 340 - 2040 Tx: 31 651 epo nl Fax: +31 70 340 - 3016 | | Liebig, T Telephone No. +31 70 | 340-2746 | | | |

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/NO2004/000116

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|------------------|---|---|--|--|--|
| _ | Во | x No. I Basis of the report | | | |
| 1. | With regard to the language , this report is based on the international application in the language in which it filed, unless otherwise indicated under this item. | | | | |
| | □ This report is based on translations from the original language into the following language, which is the language of a translation furnished for the purposes of: □ international search (under Rules 12.3 and 23.1(b)) □ publication of the international application (under Rule 12.4) □ international preliminary examination (under Rules 55.2 and/or 55.3) | | | | |
| 2. | 2. With regard to the elements* of the international application, this report is based on (replacement sheets we have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in the report as "originally filed" and are not annexed to this report): | | | | |
| | Des | scription, Pages | | | |
| | 1-10 | 0 | as originally filed | | |
| | Cla | ims, Numbers | | | |
| | 1-7 | · | filed with telefax on 22.02.2005 | | |
| Drawings, Sheets | | | | | |
| | 1/8- | -8/8 | as originally filed | | |
| | | a sequence listing and/or an | y related table(s) - see Supplemental Box Relating to Sequence Listing | | |
| 3. | The amendments have resulted in the cancellation of: the description, pages the claims, Nos. 8,9 the drawings, sheets/figs the sequence listing (specify): any table(s) related to sequence listing (specify): | | | | |
| 4. | had Sup | poplemental Box (Rule 70.2(c)) the description, pages the claims, Nos. the drawings, sheets/figs the sequence listing (spe | cify): | | |
| | | | | | |

INTERNATIONAL PRELIMINARY REPORT **ON PATENTABILITY**

International application No. PCT/NO2004/000116

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industri applicability; citations and explanations supporting such statement

1-7

1. Statement

Novelty (N) Yes: Claims No:

Claims none

Inventive step (IS) Yes: Claims 1-7

No: Claims none

Industrial applicability (IA) Yes: Claims 1-7

No: Claims none

2. Citations and explanations (Rule 70.7):

see separate sheet

Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability citations and explanations supporting such statement

1 Reference is made to the following documents:

D1: US6334957

D2: ANONYMOUS: "Strom reduser friksjonen", INTERNET ARTICLE, 4th of March 2002, Retrieved from the Internet under the following URL http://www.emtr.no/Newsroom/strm_redus erer.htm

The document D1 is regarded as being the closest prior art to the subject-matter o method claim 1, and discloses a method for reducing flow resistance in pipes or ducts by imposing a direct current (DC) electric potential on a wall of the pipe/duct in order to remove electric contribution to a friction factor, where the imposed DC electric potential is regulated by a regulating unit which is fed with information of measured fluid properties. The imposed DC electric potential is controlled so that the imposed DC-potential has the same strength bu with opposed polarity as the naturally occurring potential due to build-up of electrical charges on the wall from the interaction between the flowing fluid and the wall (see D1, claim 1).

The subject-matter of claim 1 therefore differs from this known method in that the same method is used to reduce fouling and/or scaling. The subject-matter of claim 1 is thus new (Article 33(2) PCT).

The problem to be solved by the present invention may therefore be regarded as a new use for the method known from D1.

The solution to this problem proposed in claim 1 of the present application is considered as involving an inventive step (Article 33(3) PCT) for the following reasons:

i) The document D1 itself does not provide a hint that the method could be used for reducing fouling/scaling. On the contrary, the prior art cited in D1 (col. 1, I. 35-44, col. 2, I 26-31) refers to different processes in the context of scale prevention which are not related to the method of the invention, thereby leading the skilled person away from considering the method for this purpose.

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

International application No

PCT/NO2004/000116

- ii) Although D2 mentions indications for a reduction of fouling inside pipes of hydroelectric power plants during the application of electromagnetic methods (see last paragraph), there is no indication that the method would also be applicable in a more general way for reducing fouling/scaling in process equipment. In addition to that there is no direct reference to D1 ir D2 and the nature of the fouling (biofouling, scaling) is not specified.
- iii) Consequently, although the combination of the documents D1 and D2 might appear plausible retrospectively (Ex-Post-Facto analysis) it cannot be considered to be obvious to the skilled person at the time of filing.
- 3 Claims 2-7 are dependent on claim 1 and as such also meet the requirements of the PCT with respect to novelty and inventive step.

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CLAIMS

- 1. A method for reducing fouling and/or scaling in process equipment containing flowing fluids, by imposing a direct current (DC) electric potential on the wall of the pipe/duct in order to remove the electric contribution to the friction factor, where the imposed DC electric potential is regulated by a regulating unit which is fed with information of measured fluid properties, c h a r a c t e r i s e d i n that the imposed DC electric potential is constantly regulated such that the imposed DC-potential has the exact same strength but with opposed polarity as the naturally occurring potential due to build-up of electrical charges on the wall from the interaction between the flowing fluid and wall material.
- A method according to claim 1,
 c h a r a c t e r i s e d i n that the regulating unit is fed with information of measured fluid properties upstream of the part of the pipe/duct that is exposed to the DC field, and that the measured fluid properties may be one or more of the properties contained in the group comprising average flow velocity, corrosion potential, pH, concentration of specific ions contained in the fluid, electrical conductivity, pressure, and temperature.
 - 3: A method according to claim 1 or 2,
- 20 characterised in that the DC electric potential is in the range of -5.0 to +5.0 V (saturated calomel electrode, SCE).
 - 4. A method according to claims 1 3, characterised in that the DC electric potential is in the range of -2.5 to +2.5 V (saturated calomel electrode, SCE).
- 5. A method according to claims 1 3, characterised in that the DC electric potential is in the range of -1.0 to +1.0 V (saturated calomel electrode, SCE) or less.
- 6. A method according to claims 1 5, c h a r a c t e r i s e d i n that the flow is a streaming pure fluid in gas or liquid 30 state, a colloidal fluid, a fluid which contains inclusions in the form of particles, a mixture of several fluids, in single or multiphase, or a mixture of one ore more of these
- 7. A method according to claim 1 6, characterised in that the flow can have Reynolds numbers in the range 1 to 5 000 000.